

Table 5. CSMS Vehicle Maintenance BASIC Violations ¹²				
Section	Violation Description Shown on Driver/Vehicle Examination Report Given to CMV Driver after Roadside Inspection	Violation Group Description	Violation Severity Weight ¹³	Violation in the DSMS (Y/N)
365.511	Fail to display current CVSA Decal: Permanent Authority	Inspection Reports	4	N
374.313(a)	Failure to maintain a reasonable temperature	Cab, Body, Frame	2	Y
374.313(b)	Bus — Failure to maintain restroom	Cab, Body, Frame	2	Y
374.313(c)	Bus — Not maintained in clean working order	Cab, Body, Frame	2	Y
385.103(c)	Fail to display current CVSA decal— Provisional Authority	Inspection Reports	4	N
392.2WC	Wheel (Mud) Flaps missing or defective	Windshield /Glass /Markings	1	Y
392.7	No pre-trip inspection	Inspection Reports	4	Y
392.7(a)	Driver failing to conduct pre-trip inspection	Inspection Reports	4	Y
392.7(b)	Driver failing to conduct a pre-trip inspection of Intermodal Equipment	Inspection Reports	4	Y
392.8	Failing to inspect/use emergency equipment	Emergency Equipment	2	Y
392.22(b)	Failing/improper placement of warning devices	Cab, Body, Frame	2	Y
392.33	Operating CMV with lamps/reflectors obscured	Lighting	6	Y
393.9(a)	Inoperative required lamps	Clearance Identification Lamps/Other	2	Y

¹² Violation severity weights reflect the relative importance of each violation within each BASIC. These weights *cannot* be compared or added meaningfully across the BASICS.

¹³ In cases where a violation results in an out-of-service order as defined in 49 CFR 390.5, an additional weight of 2 is added to arrive at a total severity weight for the violation.

† Citations marked with † are being phased out based on regulatory changes and are intended for removal from the SMS at a later time.

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393.9H	Inoperative head lamps	Lighting	6	Y
393.9T	Inoperative tail lamp	Lighting	6	Y
393.9TS	Inoperative turn signal	Lighting	6	Y
393.11	No/defective lighting devices/reflective devices/projected	Reflective Sheeting	3	Y
393.11LR	Lower retroreflective sheeting/reflex reflectors manufactured on or after 12/1/1993	Reflective Sheeting	3	Y
393.11N	No retroreflective sheeting/reflex reflectors manufactured on or after 12/1/1993	Reflective Sheeting	3	Y
393.11RT	Retroreflective not affixed as required Trailer manufactured on or after 12/1/1993	Reflective Sheeting	3	Y
393.11S	Side retroreflective sheeting/reflex reflectors manufactured on or after 12/1/1993	Reflective Sheeting	3	Y
393.11TL	Truck Tractor manufactured on or after 7/1/1997 with no retro reflective sheeting or reflex reflectors on mud flaps	Reflective Sheeting	3	Y
393.11TT	Truck Tractor no retroreflective sheeting/reflex reflectors manufactured on or after 7/1/1997	Reflective Sheeting	3	Y
393.11TU	Truck Tractor upper body corners retroreflective sheeting/reflex manufactured on or after 7/1/1997	Reflective Sheeting	3	Y
393.11UR	Upper reflex reflectors retroreflective sheeting/reflex reflectors manufactured on or after 12/1/1993	Reflective Sheeting	3	Y
393.13(a)	Retroreflective tape not affixed; Trailer manufactured before 12/1/1993	Reflective Sheeting	3	Y

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393.13(b)	No retroreflective sheeting/reflex reflectors manufactured on or after 12/1/1993	Reflective Sheeting	3	Y
393.13(c)(1)	Side retroreflective sheeting/reflex reflectors manufactured on or before 12/1/1993	Reflective Sheeting	3	Y
393.13(c)(2)	Lower retroreflective sheeting/reflex reflectors manufactured on or before 12/1/1993	Reflective Sheeting	3	Y
393.13(c)(3)	Upper retroreflective sheeting/reflex reflectors manufactured on or before 12/1/1993	Reflective Sheeting	3	Y
393.13(d)(1)	Side retroreflective sheeting/reflex reflectors manufactured on or after 12/1/1993	Reflective Sheeting	3	Y
393.13(d)(2)	Lower rear retroreflective sheeting/reflex reflectors manufactured on or after 12/1/1993	Reflective Sheeting	3	Y
393.13(d)(3)	Upper rear retroreflective sheeting/reflex reflectors manufactured on or after 12/1/1993	Reflective Sheeting	3	Y
393.17	No/defective lamp/reflector-tow-away operation	Lighting	6	Y
393.17(a)	No/defective lamps-towing unit-tow-away operation	Lighting	6	Y
393.17(b)	No/defective tow-away lamps on rear unit	Lighting	6	Y
393.19	Inoperative/defective hazard warning lamp	Lighting	6	Y
393.23	Required lamp not powered by vehicle electricity	Clearance Identification Lamps/Other	2	Y
393.24(a)	Noncompliance with headlamp requirements	Lighting	6	Y
393.24(b)	Noncompliant fog/driving lamps	Lighting	6	Y

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393.24BR	Noncompliant fog or driving lamps	Lighting	6	Y
393.24(c)	Improper headlamp mounting	Lighting	6	N
393.24(d)	Improper head / auxiliary / fog lamp aiming	Lighting	6	N
393.25(a)	Improper lamp mounting	Lighting	6	N
393.25(b)	Lamps are not visible as required	Lighting	6	Y
393.25(e)	Lamp not steady burning	Lighting	6	Y
393.25(f)	Stop lamp violations	Lighting	6	Y
393.26	Requirements for reflectors	Reflective Sheeting	3	Y
393.28	Improper or no wiring protection as required	Other Vehicle Defect	3	Y
393.30	Improper battery installation	Other Vehicle Defect	3	Y
393.40	Inadequate brake system on a CMV	Brakes, All Others	4	Y
393.41	No or defective parking brake system on CMV	Brakes, All Others	4	Y
393.42	No brakes as required	Brakes, All Others	4	Y
393.43	No/improper breakaway or emergency braking	Brakes, All Others	4	Y
393.43(a)	No/improper tractor protection valve	Brakes, All Others	4	Y
393.43(d)	No or defective automatic trailer brake	Brakes, All Others	4	Y
393.44	No/defective bus front brake line protection	Brakes, All Others	4	Y
393.45	Brake tubing and hose adequacy	Brakes, All Others	4	N
393.45(a)(4)	Failing to secure brake hose/tubing against mechanical damage	Brakes, All Others	4	N
393.45(b)(2)	Failing to secure brake hose/tubing against mechanical damage	Brakes, All Others	4	Y

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393.45(b)(3)	Failing to secure brake hose/tubing against high temperatures	Brakes, All Others	4	N
393.45(d)	Brake connections with leaks/constrictions	Brakes, All Others	4	N
393.47	Inadequate/contaminated brake linings	Brakes, All Others	4	Y
393.47(a)	Inadequate brakes for safe stopping	Brakes, All Others	4	Y
393.47(b)	Mismatched brake chambers on same axle	Brakes, All Others	4	Y
393.47(c)	Mismatched slack adjuster effective length	Brakes, All Others	4	Y
393.47(d)	Insufficient brake linings	Brakes, All Others	4	Y
393.47(e)	Clamp/Roto-Chamber type brake(s) out of adjustment	Brakes Out of Adjustment	4	Y
393.47(f)	Wedge type brake(s) out of adjustment	Brakes Out of Adjustment	4	Y
393.47(g)	Insufficient drum/rotor thickness	Brakes, All Others	4	Y
393.48(a)	Inoperative/defective brakes	Brakes, All Others	4	Y
393.48(b)(1)	Defective brake limiting device	Brakes, All Others	4	Y
393.50	Inadequate reservoir for air/vacuum brakes	Brakes, All Others	4	N
393.50(a)	Failing to have sufficient air/vacuum reserve	Brakes, All Others	4	N
393.50(b)	Failing to equip vehicle—prevent reservoir air/vacuum leak	Brakes, All Others	4	N
393.50(c)	No means to ensure operable check valve	Brakes, All Others	4	N
393.50(d)	No or defective air reservoir drain valve	Brakes, All Others	4	Y
393.51	No or defective brake warning device	Brakes, All Others	4	Y

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393.52(a)(1)	Insufficient braking force as percent of GVW or GCW	Brakes, All Others	4	Y
393.53(a)	Automatic brake adjuster CMV manufactured on or after 10/20/1993—hydraulic brake	Brakes, All Others	4	Y
393.53(b)	Automatic brake adjuster CMV manufactured on or after 10/20/1994—air brake	Brakes, All Others	4	Y
393.53(c)	Brake adjustment indicator CMV manufactured on or after 10/20/1994—external automatic adjustment	Brakes, All Others	4	Y
393.55(a)	ABS—all CMVs manufactured on or after 3/1/1999 with hydraulic brakes	Brakes, All Others	4	N
393.55(b)	ABS—malfunction indicators for hydraulic brake system	Brakes, All Others	4	N
393.55(c)(1)	ABS—all tractors manufactured on or after 3/1/1997 air brake system	Brakes, All Others	4	N
393.55(c)(2)	ABS—all other CMVs manufactured on or after 3/1/1998 air brake system	Brakes, All Others	4	N
393.55(d)(1)	ABS—malfunctioning circuit/signal manufactured on or after 3/1/1997, single-unit CMV manufactured on or after 3/1/1998	Brakes, All Others	4	N
393.55(d)(2)	ABS—malfunctioning indicator to cab of towing CMV manufactured on or after 3/1/2001	Brakes, All Others	4	N
393.55(d)(3)	ABS—malfunctioning indicator connection from towed CMV manufactured on or after 3/1/2001	Brakes, All Others	4	N
393.55(e)	ABS—malfunctioning lamps towed CMV manufactured on or after 3/1/1998, manufactured before 3/1/2009	Brakes, All Others	4	Y
393.60(b)	Windshields required	Windshield/ Glass/ Markings	1	Y

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393.60(c)	Damaged or discolored windshield	Windshield/ Glass/ Markings	1	Y
393.60(d)	Glazing permits less than 70 percent of light	Windshield/ Glass/ Markings	1	Y
393.60EWS	Windshield – Obstructed	Windshield/ Glass/ Markings	1	Y
393.61	Inadequate or missing truck side windows	Windshield/ Glass/ Markings	1	Y
393.61(a)	Inadequate or missing truck side windows	Windshield/ Glass/ Markings	1	Y
393.61(b)(2)	Emergency exit window handle broken †	Windshield/ Glass/ Markings	1	Y
393.62(a)	No or defective bus emergency exits, manufactured on or after 9/1/1994	Windshield/ Glass/ Markings	1	Y
393.62(b)	No or defective bus emergency exits, manufactured on or after 9/1/1973 but before 9/1/1994	Windshield/ Glass/ Markings	1	Y
393.62(c)	No or defective bus emergency exit windows, manufactured before 9/1/1973	Windshield/ Glass/ Markings	1	Y
393.62(d)	No / defective Safety glass/push-out window	Windshield/ Glass/ Markings	1	Y
393.62(e)	No or inadequate bus emergency exit marking	Windshield/ Glass/ Markings	1	Y
393.65	Fuel system requirements	Fuel Systems	1	N
393.65(b)	Improper location of fuel system	Fuel Systems	1	Y
393.65(c)	Improper securement of fuel tank	Fuel Systems	1	Y
393.65(f)	Improper fuel line protection	Fuel Systems	1	Y
393.67	Fuel tank requirement violations	Fuel Systems	1	N

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393.67(c)(7)	Fuel tank fill pipe cap missing	Fuel Systems	1	Y
393.67(c)(8)	Improper fuel tank safety vent	Fuel Systems	1	N
393.68	Compressed Natural Gas (CNG) Fuel Container does not conform to regulations	Other Vehicle Defect	3	Y
393.70	Fifth wheel	Coupling Devices	3	N
393.70(a)	Defective coupling device—improper tracking	Coupling Devices	3	N
393.70(b)	Defective/improper fifth wheel assemblies	Coupling Devices	3	Y
393.70(b)(2)	Defective fifth wheel locking mechanism	Coupling Devices	3	Y
393.70(c)	Defective coupling devices for full trailer	Coupling Devices	3	Y
393.70(d)	No/improper safety chains/cables for full trailer	Coupling Devices	3	Y
393.70(d)(8)	Improper safety chain attachment	Coupling Devices	3	Y
393.71	Improper coupling driveaway/tow-away operation	Coupling Devices	3	Y
393.71(g)	Prohibited towing connection / device	Coupling Devices	3	Y
393.71(h)	Towbar requirement violations	Coupling Devices	3	Y
393.71(h)(10)	No/improper safety chains/cables for towbar	Coupling Devices	3	Y
393.75	Tires/tubes (general)	Tires	8	Y
393.75(a)	Flat tire or fabric exposed	Tires	8	Y
393.75(a)(1)	Tire—ply or belt material exposed	Tires	8	Y
393.75(a)(2)	Tire—tread and/or sidewall separation	Tires	8	Y
393.75(a)(3)	Tire—flat and/or audible air leak	Tires	8	Y
393.75(a)(4)	Tire—cut exposing ply and/or belt material	Tires	8	Y

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393.75(b)	Tire—front tread depth less than 4/32 of inch	Tires	8	Y
393.75(c)	Tire—other tread depth less than 2/32 of inch	Tires	8	Y
393.75(d)	Tire—bus regrooved/recap on front wheel	Tires	8	Y
393.75(e)	Tire—regrooved on front of truck/truck-tractor	Tire vs. Load	3	Y
393.75(f)	Tire—load weight rating/under inflated	Tire vs. Load	3	Y
393.75(f)(1)	Weight carried exceeds tire load limit †	Tire vs. Load	3	Y
393.75(f)(2)	Tire under-inflated †	Tire vs. Load	3	Y
393.75(h)	Tire under-inflated	Tire vs. Load	3	Y
393.76	Sleeper berth requirement violations	Other Vehicle Defect	3	Y
393.77	Defective and/or prohibited heaters	Other Vehicle Defect	3	Y
393.77(b)(5)	Protection of operating controls from tampering	Other Vehicle Defect	3	Y
393.77(b)(11)	Bus heater fuel tank location	Other Vehicle Defect	3	Y
393.78	Windshield wipers inoperative/defective	Windshield/ Glass/ Markings	1	Y
393.79	Defroster / Defogger inoperative	Windshield/ Glass/ Markings	1	Y
393.80	Failing to equip vehicle with two rear vision mirrors	Other Vehicle Defect	3	Y
393.81	Horn inoperative	Other Vehicle Defect	3	Y
393.82	Speedometer inoperative / inadequate	Other Vehicle Defect	3	Y
393.83(a)	Exhaust system location	Exhaust Discharge	1	Y

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393.83(b)	Exhaust discharge fuel tank/filler tube	Exhaust Discharge	1	Y
393.83(c)	Improper exhaust—bus (gasoline)	Exhaust Discharge	1	Y
393.83(d)	Improper exhaust—bus (diesel)	Exhaust Discharge	1	Y
393.83(e)	Improper exhaust discharge (not rear of cab)	Exhaust Discharge	1	Y
393.83(f)	Improper exhaust system repair (patch/wrap)	Exhaust Discharge	1	Y
393.83(g)	Exhaust leak under truck cab and/or sleeper	Exhaust Discharge	1	Y
393.83(h)	Exhaust system not securely fastened	Exhaust Discharge	1	Y
393.84	Inadequate floor condition	Cab, Body, Frame	2	Y
393.86	No or improper rearend protection	Cab, Body, Frame	2	Y
393.86(a)(1)	Rear impact guards—all trailers/semitrailers manufactured on or after 1/26/98	Cab, Body, Frame	2	N
393.86(a)(2)	Impact guard width—all trailers/semitrailers manufactured on or after 1/26/98	Cab, Body, Frame	2	N
393.86(a)(3)	Impact guard height—all trailers/semitrailers manufactured on or after 1/26/98	Cab, Body, Frame	2	N
393.86(a)(4)	Impact guard rear—all trailers/semitrailers manufactured on or after 1/26/98	Cab, Body, Frame	2	N
393.86(a)(5)	Cross-sectional vertical height— all trailers/semitrailers manufactured on or after 1/26/98	Cab, Body, Frame	2	N
393.86(b)(1)	Rear Impact Guards—motor vehicle manufactured on or after 12/31/52, see exceptions	Cab, Body, Frame	2	Y
393.88	Improperly located television receiver	Cab, Body, Frame	2	Y

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393.89	Bus driveshaft not properly protected	Cab, Body, Frame	2	Y
393.90	Bus—no or obscure standee line	Cab, Body, Frame	2	Y
393.91	Bus—improper aisle seats	Cab, Body, Frame	2	Y
393.93(a)	Bus—not equipped with seatbelt	Cab, Body, Frame	2	Y
393.93(a)(3)	Seats not secured in conformance with FMVSS	Cab, Body, Frame	2	N
393.93(b)	Truck not equipped with seatbelt	Cab, Body, Frame	2	Y
393.95(a)	No/discharged/unsecured fire extinguisher	Emergency Equipment	2	Y
393.95(a)(1)(i)	No/discharged/unsecured fire extinguisher	Emergency Equipment	2	Y
393.95(b)	No spare fuses as required	Emergency Equipment	2	Y
393.95(c)	No spare fuses as required	Emergency Equipment	2	Y
393.95(f)	No / insufficient warning devices	Emergency Equipment	2	Y
393.95(g)	HM—restricted emergency warning device	Emergency Equipment	2	Y
393.201(a)	Frame cracked/loose/sagging/broken	Cab, Body, Frame	2	Y
393.201(b)	Bolts securing cab broken/loose/missing	Cab, Body, Frame	2	N
393.201(c)	Frame rail flange improperly bent/cut/notched	Cab, Body, Frame	2	N
393.201(d)	Frame accessories improperly attached	Cab, Body, Frame	2	N
393.201(e)	Prohibited holes drilled in frame rail flange	Cab, Body, Frame	2	N
393.203	Cab/body parts requirements violations	Cab, Body, Frame	2	Y

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393.203(a)	Cab door missing/broken	Cab, Body, Frame	2	Y
393.203(b)	Cab/body improperly secured to frame	Cab, Body, Frame	2	Y
393.203(c)	Hood not securely fastened	Cab, Body, Frame	2	Y
393.203(d)	Cab seats not securely mounted	Cab, Body, Frame	2	Y
393.203(e)	Cab front bumper missing/unsecured/protrude	Cab, Body, Frame	2	Y
393.205(a)	Wheel/rim cracked or broken	Wheels, Studs, Clamps, Etc.	2	Y
393.205(b)	Stud/bolt holes elongated on wheels	Wheels, Studs, Clamps, Etc.	2	Y
393.205(c)	Wheel fasteners loose and/or missing	Wheels, Studs, Clamps, Etc.	2	Y
393.207(a)	Axle positioning parts defective/missing	Suspension	7	Y
393.207(b)	Adjustable axle locking pin missing/disengaged	Suspension	7	Y
393.207(c)	Leaf spring assembly defective/missing	Suspension	7	Y
393.207(d)	Coil spring cracked and/or broken	Suspension	7	Y
393.207(e)	Torsion bar cracked and/or broken	Suspension	7	Y
393.207(f)	Air suspension pressure loss	Suspension	7	Y
393.207(g)	No/defective air suspension exhaust control	Suspension	7	N
393.209(a)	Steering wheel not secured/broken	Steering Mechanism	6	Y
393.209(b)	Excessive steering wheel lash	Steering Mechanism	6	Y
393.209(c)	Loose steering column	Steering Mechanism	6	Y
393.209(d)	Steering system components worn/welded/missing	Steering Mechanism	6	Y

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393.209(e)	Power steering violations	Steering Mechanism	6	Y
396.1	Must have knowledge of and comply with regulations	Inspection Reports	4	Y
396.3(a)(1)	Inspection/repair and maintenance parts and accessories	Wheels, Studs, Clamps, Etc.	2	Y
396.3A1B	Brakes (general)	Brakes, All Others	4	Y
396.3A1BA	Brake out of adjustment	Brakes Out of Adjustment	4	N
396.3A1BC	Brake-air compressor violation	Brakes, All Others	4	N
396.3A1BD	Brake-defective brake drum	Brakes, All Others	4	N
396.3A1BL	Brake-reserve system pressure loss	Brakes, All Others	4	N
396.3A1T	Tires (general)	Tires	8	Y
396.5	Excessive oil leaks†	Other Vehicle Defect	3	N
396.5(a)	Failing to ensure that vehicle is properly lubricated	Other Vehicle Defect	3	N
396.5(b)	Oil and/or grease leak	Other Vehicle Defect	3	N
396.7	Unsafe operations forbidden	Other Vehicle Defect	3	Y
396.9(c)(2)	Operating an OOS vehicle	Vehicle Jumping OOS	10	Y
396.9(d)(2)	Failure to correct defects noted on inspection report	Inspection Reports	4	N
396.11	No or inadequate driver vehicle inspection report	Inspection Reports	4	Y
396.13(c)	No reviewing driver's signature on Driver Vehicle Inspection Report (DVIR)	Inspection Reports	4	Y
396.17(c)	Operating a CMV without periodic inspection	Inspection Reports	4	N

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398.5	Parts/access—migrant workers	Other Vehicle Defect	3	Y
398.7	Inspect/maintain motor vehicle—migrant workers	Inspection Reports	4	N
399.207	Vehicle access requirements violations	Cab, Body, Frame	2	N
399.211	Inadequate maintenance of driver access	Cab, Body, Frame	2	N